

# FX CAPITAL

— MANAGED ACCOUNT —

## Client Application/ Agreement Form

# Know Your Client and Suitability Requirements.

## Strictly Confidential

1. Employment Details		
Employer:		
Time with this employer:		
Nature of business:		
Position held:		
Is your employer a quoted UK company?	Yes	No

2. Financial Details	
Approx. annual income:	
Approximate value of Principle Home:	
Investment Property:	
Mortgage Value:	
Other Borrowings/Liabilities:	
Tax Efficient Savings:	
Cash Balances:	
Share Portfolio:	
Alternative Investments:	
Total Liquid Assets :	
Net Liquid Assets :	

3. Risk / Reward				
What is the Maximum Drawdown you are able to tolerate on your account with The Broker?				
30%	50%	75%	100%	

4. Account Size
Please Indicate the Initial account size with The Broker:

5. Leverage size required
Please indicate the leverage you would like to be traded on your account (higher leverage = higher risk/reward):
1 time
2 times
3 times
4 times
5 times

6. Your Knowledge and Experience	
Please indicate years of experience and average number of trades per year or per quarter	
Equities	
Derivatives (options, futures, CFD's)	
Spread bet	
Unit Trusts	
Bonds	

---

## 7. Personal Identification

In order to proceed we will need to verify your personal identity and address. Therefore, by signing this form, you state your understanding that we may ask you for additional documents in order to fully comply with rules set forth by the FSA and the requirements of the Money Laundering Regulations 2007. Additionally, we may make address checks through a credit reference agency.

The Money Laundering Regulations require all financial institutions to verify the identity of their clients. All applications must be accompanied by: (1) a photocopy of your passport, driving licence or national identity card and (2) an original utility bill (not a mobile phone bill). If applying from outside the European Union, please supply notarised copies of these documents (including two utility bills).

A lawyer, banker or regulated professional must certify each photocopy.

Wallwood accepts no liability for original documents that are mislaid in the post.

We strongly advise that you send us scan copies. Please scan to **[enquiries@fx-capital.co.uk](mailto:enquiries@fx-capital.co.uk)**

---

## 8. FX CAPITAL MANAGED ACCOUNT AGREEMENT

### AGREEMENT BETWEEN:

**Wallwood Consultants Ltd**

**AND THE ACCOUNT HOLDER (NAME):** \_\_\_\_\_

### WHO DECLARES AND WARRANTS AS FOLLOWS:

- 1) I hereby provide Wallwood Consultants with limited power of attorney and thereby appoint them as Account Manager with full capacity to manage my funds held with The Broker (Custodian / Broker) at their discretion.
- 2) I hereby accept and understand that the FX Capital Managed Account Programme utilises CFD/Spread Bets strategies that may carry a higher degree of risk than trading physical shares, as explained in the Full Disclosure Document.
- 3) I hereby understand and accept that Wallwood Consultants will charge a Performance Fee of 20% on values of £10,000 and above as outlined in the Full Disclosure Document. Each CFD/Spread Bets transaction will also be subject to a further CFD/Spread Bets dealing charge that is either credited to or debited from my account by the (Custodian / Broker) depending on whether the position is long or short. A management fee of 2% will be payable annually.
- 4) I hereby confirm that I have read the Full Disclosure Document and understand all aspects of the FX Capital Managed Account Programme and the risks involved.
- 5) I have carefully considered the information provided to me in the Full Disclosure Document and am satisfied that the FX Capital Managed Account Programme is suitable for my investment objectives and financial circumstances, and confirmed that I have not relied on any past performance results in making this decision.
- 6) I have read this form and to the best of my/our knowledge the information that I have provided is correct. I undertake to notify Wallwood Consultants Ltd of any significant/material changes. I understand that these objectives should be reviewed regularly. I confirm that I have received and read Wallwood Consultants Ltd. Terms of Business and Wallwood Consultants Ltd. Schedule of Fees and Commissions, and consent to my treatment as a retail customer.

Signature \_\_\_\_\_

Date \_\_\_\_\_